Peru Research sites: Community Profiles

José Luis Álvarez, Maribel Arroyo, Lida Carhuallanqui, James Copestake, Martín Jaurapoma, Tom Lavers, Miguel Obispo, Edwin Paúcar, Percy Reina, Jorge Yamamoto.

1. Peru Context

Table 1. National poverty rates for 2000

	Total popula	ation	Absolute po	verty inciden	ice
	No. ('000)	Share (%)	No. ('000)	Share (%)	Rate (%)
National	25,625	100	13,863	100	54.1
Lima	7,400	29	3,345	24	45.2
Other coastal urban	4,552	18	2,417	17	53.1
Coastal rural	1,326	5	854	6	64.4
Highland urban	3,235	13	1,433	10	44.3
Highland rural	5,742	22	3,761	27	65.5
Jungle urban	1,548	6	797	6	51.5
Jungle rural	1,822	7	1,261	9	69.2

Source: UNDP (2002)

Table 2. Peru and the human development index

Year	1987	1993	1998	2004
HDI score	0.753	0.694	0.737	0.767
HDI rank	74	91	80	82
Life expectancy (year)	63	66	69	70
Adult literacy (% of population)	85	88	89	88
GDP per person rank minus HDI rank	0	-3	7	12

Source: UNDP Human Development Reports for 1990, 1996, 2000 and 2006.

Peru's first national "Human Development Report" (UNDP, 2002) provided estimates of the human development index for each department and province in the country. The latter are reproduced in Table 3, and indicate that the HDI is generally highest on the coast and lowest in the highlands, with predominantly jungle areas occupying an intermediate position.

Table 3. Human Development Indicator by department, 2000

Mainly coast		Mainly highland		Mainly jungle	Mainly jungle		
Tumbes	0.620	Cajamarca	0.495	Loreto	0.621		
Piura	0.561	Huanuco	0.494	Amazonas	0.515		
Lambayeque	0.625	Pasco	0.575	San Martín	0.553		
La Libertad	0.613	Junin	0.578	Ucayali	0.565		
Ancash	0.577	Huancavelica	0.460	Madre De Diós	0.650		
Lima/Callao	0.747	Ayacucho	0.488				
Ica	0.667	Apurimac	0.457				
Arequipa	0.635	Cusco	0.537				
Moquegua	0.666	Puno	0.512				
Tacna	0.681						

Source: UNDP(2002). Note: Departments in each column are listed from North to South. Data presented below comes from the three departments shown in bold.

1.2. Selection of research sites

Empirical research in Peru was *not* intended to enable general statements to be made about the country as a whole, but to reflect important dimensions of national diversity in order that the relevance of universal ideas about wellbeing could be tested against the views of people living in contrasting contexts. It was also accepted that site selection should build on prior geographical experience of the researchers and would need to take into account logistical constraints. Discussion of how to make the best selection led to the idea of adopting a *corridor* approach. This refers to the idea of identifying sites to reflect as far as possible the diversity of conditions along an interconnected East-West transect of Peru, linking coast, mountain and jungle. By "diversity" we had in mind a broad and interconnected set of variables, including: (a) altitude, ecology and natural resources; (b) accessibility and integration with external markets; (c) degree of urbanization and quality of infrastructure; (d) proximity to centers of political power; (e) the relative influence of Western and indigenous culture and values.¹

Table 4. A brief description of the research sites in Peru

Name,	Region, type, and	Brief description
altitude and	population	
distance by		
road from		
Lima		
Llajta Iskay	Huancavelica	Annex of Alegria with poor road access. Mostly
3,400m	(Rural –	Quechua speaking. High rate of migration to
380km	highlands)	Huancayo, Lima, mines and jungle: few
	365	immigrants.
Llajta Jock	Huancavelica	Annex of Alegria. A smaller and more close-
3,300m	(Rural –	knit community than Llajta Iskay. Mostly
365km	highlands)	Quechua speaking. High rate of migration to
	212	Huancayo, Lima, mines and jungle: few
		immigrants.
Selva Manta	Jauja province of	Hamlet in a steep valley on the Eastern slopes
1,400-	Junin	of the Andes in the district of Monobamba.
1,800m	(Rural – cloud	Spanish speaking. Comprises migrants from
290km	forest)	Huancavelica and other parts of Junin. Total
	560	evacuation during the violence, and since for
		education and business. Seasonal immigration
		for sugarcane and coffee harvesting.
Alegria	Huancavelica	Farming town and district centre in Tayacaja
3,000-	(Peri-urban –	province with good road access to Huancayo
3,500m	highlands)	city. Mostly bilingual. Some immigration from
355km	5,440	more villages as well as outmigration to Lima,
		Huancayo, the central jungle and mines.
Descanso	Junin	Farming town and district centre in the Mantaro
3,275m	(Peri-urban –	Valley. Almost entirely Spanish speaking, with
290km	highlands)	easy access to Huancayo city. Some
	5,323	immigration, mostly for marriage. Migration
		out to Lima, central mines and jungle,

		especially for education.
Progreso	Junin	Two neighborhoods on barren hillside
3,275-	(Urban–	overlooking the city of Huancayo. Bilingual.
3,325m	highlands)	Residents mostly arrived in the 1980s from
310km	1,560	Huancavelica but also from Ayacucho and
		some highland villages of Junin.
Nuevo Lugar	Lima	Large settlement (part of the district of Atí
550-900m	(Urban-coast)	Vitarte) in hills to the east of Lima, founded in
35km	150,000	1984. Mostly residents arrived in early 1990s
		from the Central Andes. Many are bilingual, but
		very few non-Spanish speaking.

The corridor selected for the research stretches from a large 'shanty town' on the outskirts of Lima, through the Mantaro valley to small villages in the highlands of Huancavelica and the cloud forest on the Eastern slopes of the Andes. This corridor is polarized, since it links the richest part of Peru (Lima) with one of the poorest (Huancavelica) in a relatively short distance. Table 4 provides a very brief description of each site, which also reflects diversity in social organization and culture of participation.²

At the centre of the research area is the Mantaro Valley and the city of Huancayo, capital of the Department of Junin. Progreso is a poor neighborhood located within the city itself. Many of its inhabitants are migrants who came from other parts of the highlands during the 1980s. Descanso is a not untypical Mantaro farming town: Spanish-speaking and mestizo (mixed race), with irrigated land close to the river and pasture stretching high into the surrounding hills. The district town of Alegria (along with annexes Llajta Iskay and Llajta Jock) also lie within the economic hinterland of Huancayo city and close to the Mantaro River, but at a point where the river narrows into a gorge and enters the Department of Huancavelica. It is influenced by both the Spanish-speaking mestizo culture of Huancayo and the Quechua-speaking indigenous culture of Huancavelica. Selva Manta, in Junin Department, is inhabited mostly by people born and raised in and around the Mantaro Valley. But its climate is more tropical, and access by vehicle is from the north through Tarma and La Merced

rather than directly from the Mantaro Valley. Finally, <u>Nuevo Lugar</u> is part of the distant Lima-Callao metropolis some 300 kilometers from Huancayo. But located close to the highway leading into the central highlands from which many of its inhabitants originated it is psychologically less distant from the other sites than pure geography might suggest.



Figure 1: Map of the Peruvian Corridor

By Frank Altamirano, professional geographer

2. Regional Context

2.1. The corridor concept

The idea of "economic corridors" has been promoted in Peru by government and aid agencies as part of a strategy for development of strategic supply chains linking coast, highland and jungle.³ The concept was adopted by the WeD research team to facilitate selection of a set of research sites to reflect as much variation as possible in contextual factors within the region, including: altitude and access to natural resources; population density and degree of urbanization; the relative importance of local, national and global trade; proximity to centers of political power; ethnicity and language. The concept is particularly applicable to the chosen research area, given the

economic importance of the central highway between Lima and the interior of Junin and Huancavelica. However, flows of money, people and goods cannot be neatly segmented into discrete channels, nor does the idea of a corridor exist within the popular imagination.⁴ Here we focus first on the Mantaro Valley, then briefly contrast this with a perspective from Huancavelica.

2.2. The Mantaro Valley in historical perspective

Although not much is known about habitation of the Mantaro Valley in the pre-Inca period, archaeological and linguistic evidence suggest it was the centre of a unified Huanca kingdom immediately prior to the Inca conquest in 1460. More controversial is the argument, advanced by historian Waldemar Espinoza, that the Huanca were sufficiently united and strong to greet the Spanish in 1532 as liberators and potential allies. José Maria Arguedas built on this interpretation of the conquest by arguing that this alliance (a factor in persuading Pizarro to locate his first capital in the Mantaro Valley at Jauja, and then on the nearest part of the Pacific Coast at Lima) allowed the Huanca nobility and their subjects to escape the extremes of colonial servitude and exploitation experienced by other Andean groups. Others have argued that this simplified version of a distinctive Huanca history can also be seen as a more recent process of reinvention of tradition and identity (Álvarez, 2005; Romero, 2004).

Studies of colonial history in the Valley suggest that while the gradual establishment of a dominant *mestizo* landowning class was far from rapid or smooth, it advanced further and faster here than in the Southern highlands, where a stronger tradition of absolute rule by Spanish overlords (*gamonales*) emerged. Key to the process were matrimonial alliances across racial and ethnic barriers, the establishment of nucleated farming towns on each side of the river (such as Descanso), the role of fraternal alliances (*cofradias*) of landowners and peasants within each town, and the slow decline of a distinct indigenous landowning aristocracy of *kurakas* (Álvarez, 2005).

The role of *mestizo* landowners in the nationalist struggle for independence from Spain during the 1820s remains a matter of debate, but conflict is likely to have created more opportunities for its consolidation as a class, as well as hastening the gradual disappearance from the Valley of *campesinos* of pure indigenous ancestry. Consequently, the 1879-83 war and Chilean occupation did not pitch white landowning collaborators against Indian peasant guerrillas as starkly as it did further

south. Rather, relatively successful resistance further consolidated the emergence of a self-consciously patriotic *mestizo* social identity, in which hierarchy in control of land was conflated with communal and reciprocal traditions of work and festivity. In the 1920s, when anthropologists began to document the livelihoods and folklore of the valley, they could advance the idea of economically differentiated but culturally homogeneous communities: each with a distinctive identity linked to craft products, patron saints, festivals, weekly markets and control of communal land. From there it was a small step for Arguedas and others to develop the idea of an even wider imagined community of *mestizo* peasants and landowners less deeply stratified by radicalized hierarchy than elsewhere in Peru (Álvarez, 2005).

But if there ever was a golden age of harmonious communities in the Mantaro valley then it didn't last long. The penetration of capitalist relations in agriculture was greatly accelerated by the arrival of the Cerro de Pasco mining company and construction of the railway from Lima to Huancavelica at the beginning of the twentieth century. A substantial literature explores its impact on the regional economy, including the effect of wage income from miners on agrarian structure (Long and Roberts, 1978; Mallon, 1983). In rural areas, differential access to cash incomes stimulated the formation of a land market and the emergence of a class of commercial farmers selling food, wood, clothes and shoes to meet growing demand from the mines and cities. These relied to varying degrees on reciprocal and communal forms of labor mobilization as much as on wage labor. In some villages, relatively equal land distribution combined with rising education to facilitate communal activities and infrastructural development: the hydro-electric project in Muquiyauyo (built in 1908) being a celebrated example (Adams, 1959). But more commonly smaller peasant farmers were unable to raise productivity to match rising off-farm employment opportunities. As a result their contribution to agricultural output became increasingly marginal relative to their dependence on seasonal migration. Population growth, farm differentiation, and informal small-business opportunities arising from increased demand for non-food goods and services fuelled migration and urbanization. The city of Huancayo grew rapidly into the dominant regional trading, financial and supply centre, linking mining and agricultural sectors, and controlled more by mercantilist than by landowning interests (Long and Roberts, 1978:70-87).

Up to the 1960s it was still possible to view all of the above as part of a chaotic but progressive process of economic development, with the mining sector as the major engine of growth, pulling small-scale trading and more progressive farmers along behind it. However, by the 1970s direct and indirect employment creation as a result of mining and related activities was faltering. Major business interests in Huancayo began to switch their centre of operation to Lima, weakening its small industrial base in favor of commerce and government services. The agrarian reform of 1969 did little to help small-scale farmers, being mostly restricted to upland pastoral zones and jungle estates (Long and Roberts, 1978:248-253). The agricultural sector throughout the country performed badly during the 1970s, with highland producers in particular finding it ever harder to compete with producers on the coast and abroad (Crabtree, 2006). Tristead they became increasingly dependent upon seasonal migration and remittances, including those sent back from rapidly growing towns in the Jungle, such as Canchamayo and Satipo (CVR, 2003:136; INEI, 2005). Meanwhile in urban areas the struggle for secure employment accentuated the importance of ethnicity and education (Figueroa, 2003).

2.3. Violence and poverty in the 1980s and 1990s

The 1980s began with elections and heightened political expectations, but neither the government of Belaunde in the first half of the decade, nor that of Alan Garcia in the second, proved able to handle them. In the context of the wider 'lost decade of growth for Latin America' the failure was in part economic: Peru's GDP recorded an average annual fall of 1.2 percent through the 1980s, led by falling earnings from the mining sector (Sheahan, 1999:48). But even more traumatic was the growth of the Shining Path movement, fuelled in part by personal experience of poverty and exclusion from the prevailing political and economic system (Starn *et al*, 1995; Sheahan, 1999:33).

The final report of the Truth and Reconciliation Commission (CVR, 2003) dedicates a chapter to the effects of the Maoist insurgency in the central highlands, which it defines as the Departments of Junin and Pasco, plus the Northernmost Provinces of Huancavelica. It starts by noting the region's strategic importance (as the shortest transport link from Lima to both mountain and jungle, and its reputation as the most prosperous highland region. It also emphasizes its hybrid *mestizo* identity: a commercially-oriented economy and popular culture that combined growing consumerism with many traditional Andean characteristics, and had avoided the

extremes of agrarian conflict experienced elsewhere in the country (CVR, 2003:137). These features, as well as the rapid defeat of the MIR (*Movimiento de Izquierda Revolucionario*) insurgency in 1965 led many people to assume it would be resistant to the revolutionary doctrines and tactics of both Shining Path (*Partido Comunista del Peru - Sendero Luminoso*) and MRTA (*Movimiento Revolucionario Tupac Amaru*).

Events initially bore this out: during the early 1980s, acts of violence in Junin were less frequent than to the south. However, both terrorist groups greatly strengthened their presence in the region during this time: MRTA through the arrival of leaders from Cuzco in 1984, and Shining Path through the arrival of insurgents from Huancavelica, Ayacucho and Apurimac after the army entered these departments in 1982. Shining Path opened its first guerrilla zone in the Chaupihuaranga valley of Pasco, and built strong networks among students, particularly at the *Universidad* Nacional del Centro del Peru (UNCP, or the National University of Central Peru), as well as in poorer settlements surrounding Huancayo city. 8 Although much smaller than Shining Path, MRTA infiltrated unions, student groups and peasant associations. It established a strong presence in the jungle areas of Chanchamayo and in the cloud forest areas (including Selva Manta) stretching from there up to the Mantaro valley. Destruction of infrastructure, hostage-taking, summary trials and assassination of police, municipal and communal leaders all increased rapidly, particularly after 1985. By 1987 Shining Path was present throughout the region; it controlled popular committees in many communities and its armed columns roamed freely.

According to the Truth and Reconciliation Commission, the maximum number of recorded deaths and disappearances in the region in one year was 785 in 1990, this being out of a total 3,618 recorded for the period 1980 to 2000 (CVR, 2003:145). This includes 903 in the Mantaro Valley (including Huancayo city), 782 in the two Northern provinces of Huancavelica, and 1,556 in the central jungle areas. Local NGOs estimated that by 1990 approximately 15,000 displaced people were living in the Mantaro Valley (compared with more than 300,000 nationally). But it became increasingly hard to distinguish between internally displaced persons and other migrants, particularly as the "IDP" label became a means for securing support for relief and reconstruction (Stepputat and Sorensen, 2001:775).

The government of Alan Garcia declared a state of emergency over the whole region in 1989. The presence of the army gradually spread out from the main barracks in Huancayo, Jauja and La Merced into surrounding areas. Public confidence in the army was low, being fuelled by accounts of atrocities elsewhere, and this was initially reinforced by some indiscriminate punitive killings in communities in the Mantaro Valley (CVR, 2003:141). However, the Truth and Reconciliation Commission concluded that the tactics of the army in the region were mostly more restrained than further south, as well as more effectively directed towards a strategy of rebuilding confidence, accumulating intelligence, carrying out targeted actions and supporting civilian self-defense committees through provision of training and firearms (CVR, 2003:143). This resulted initially in an increase in deaths and disappearances, but gradually the tide turned. MRTA's strength waned first, after a series of setbacks in 1989, including the celebrated April Enfrentamiento de los Molinos (Battle of the Mills), at which 67 MRTA militants were killed by the Peruvian army. By 1992, and with the capture of Abimael Guzmán, Shining Path also faced military defeat in the region. Violence nevertheless continued sporadically throughout the 1990s, with Shining Path retaining a minor presence in some jungle areas beyond 2000.

Recovery from the national crisis at the end of the 1980s was gradual. The economic stabilization program introduced by Fujimori in August 1990 tackled the hyperinflation he had inherited, but resulted in two more years of economic stagnation and rising poverty (Diaz, Saavedra and Torero, 2002). While a long period of economic growth and rising average incomes followed (interrupted briefly in the late 1990s) this was also associated with increasing inequality, mitigated only partially by the expansion of public investment and social protection programs. Agriculture in the highlands was also adversely affected by competition with the coast and from food imports, contributing to a sharp fall in real agricultural prices. For example, the five year average real farm-gate prices for potatoes, wheat and coffee for 1996-2000 was respectively 34 percent, 29 percent and 36 percent of those a decade earlier, while the volume of food imports was 70 percent higher (Crabtree, 2006). Income was increasingly skewed in favor of farmers and traders with more land and better commercial connections, including Huancayo's infamous 'potato kings'--wholesalers-cum-moneylenders with entrenched control over marketing of crops from particular

localities. Meanwhile, poorer households sought to diversify their activities but were unable to stem the flow of out-migration (Escobal, 2001).

In urban areas unemployment has spurred increased investment in private as well as public education, but often of poor quality. The availability of cheap imported goods has stimulated the retail sector, with fast-food chains and illegal imitation of branded consumer goods being other areas of growth. Some financial institutions (most notably the *Caja Municipal* of Huancayo) have flourished by attracting deposits built up from remittances and investment in micro-businesses, mostly in the service sector. But the tendency for more successful business people and professionals to migrate to Lima and abroad means the city lacks a substantial resident business class. This social fluidity combined with unemployment and consumerism goes some way to explaining the growth of youth delinquency and gang culture. The presence of so many relatives outside the region, and the growing share of imported goods in the market, has also changed the regional identity: with Huanca nationalism persisting at least as much in the minds of the Valley's diaspora as those of its current inhabitants.¹⁰

2.4. A view from Huancavelica¹¹

The research sites in the district of Alegria are economically oriented towards Huancayo both through trade in goods and movement of people, but at the same time linked administratively and linguistically to Huancavelica. Hence some understanding of the latter is also necessary in setting the context for the research. Table 5 reveals some of the differences between the two departments and also Lima.

Table 5. Comparative statistics for Lima, Junin and Huancavelica departments

Department		Peru	Lima	Junin	H'velica
	Year				
Population ('000)	2002	26,745	7,748	1,247	443
Population density ('000/sq.km)	2002	20	222	28	20
Population increase (% per year)	93-02	n.a.	2.0	1.5	1.1
Urban population (%)	1981	n.a.	1.0	60.0	25.0
Urban population (%)	2002	n.a.	1.0	67.0	29.0
Life expectancy (years)	2000	68.7	73.2	67.2	64.2

Adult illiteracy (%)	2000	10.7	3.9	11.9	27.5
Women's illiteracy (%)	2000	16.0	6.2	17.6	38.3
Av. adult education (years)	2000	8.1	10.0	7.6	4.6
Children speaking Quechua (%)	2000	n.a.	n.a.	8.0	59.8
Single mothers (%)	2000	17.7	21.3	18.2	15.3
GDP (million Soles 1994 prices)	1995	107,039	50,155	4,420	940
GDP (million Soles 1994 prices)	2001	121,513	57,462	4,498	777
GDP growth (%)	95-01	13.5	14.6	1.8	-17.3
GDP per person	2001	13,815	6,473	3,545	2,122
Share of agriculture (%)	1995	13.3	1.9	13.9	24.8
Share of agriculture (%)	2001	n.a.	1.8	14.8	25.7
Poverty incidence (%)	2004	51.6	37.1	52.6	84.4
Extreme poverty (%)	2004	19.2	4.2	18.3	59.9

Source: UNDP (2002); PEISA (2003); INEI (2005).

The table shows that Huancavelica has a smaller and more rural population. Internal rural to urban migration is relatively low (Huancavelica city having a population of only 30,000) owing to the prevalence of migration out of the department altogether. GDP per person is also smaller and more reliant on agriculture than elsewhere. The Huancavelica regional economy contracted sharply in the second half of the 1990s, whereas it grew in Lima (along with much of the coast), and remained stagnant in Junin. Average formal education of those over 25 years of age is less and illiteracy higher, particularly for women. The incidence of poverty and extreme poverty is also much higher. Cultural differences are reflected in the much higher proportion of children aged 5-14 who speak Quechua, as well as a slightly lower incidence of single mothers. The province of Tayacaja (where Alegria is located) occupies an intermediate position between the departmental averages for Junin and Huancavelica: demographically close to the latter, but economically influenced by its commercial orientation towards the city of Huancayo, and in the more densely populated north of Huancavelica rather than the larger but more sparsely populated and pastoral south. Key informants emphasized a strong work ethic in Tayacaja, but also greater extremes of wealth, with many richer farmers being able to own their own transport and educate their children privately in the more Westernized schools in Huancayo city.

The city of Huancavelica lies 150 kilometers south of Huancayo with direct road and rail links. It grew up originally to serve the nearby mine of Santa Barbara, which in the 16th century supplied the Spanish empire with much of the mercury it needed to extract and purify silver and gold. However, it is also the point of contact between the commercial economy and the more self-sufficient peasant farming communities to the east and south of the department. The city is also an entry point for growing numbers of government and non-government organizations, attracted there in part by Huancavelica's dubious status as the poorest department in the country, with a Human Development Index as low as 0.439. 12 Part of Huancavelica's low economic status can be attributed to the drain of educated people to Huancayo and Lima. In contrast, many of those who stay can recounted stories of being 'badly treated' in these places and maintain their cultural orientation in the opposite direction. Cultural polarization is evident in the reluctance of people to use Quechua in the presence of strangers, and by separate queues for indigenous and white people outside banks and local stores. Among young and unemployed people there is a continued sense of oppression and cultural conflict between the communal peasant life and unfulfilled aspirations for better formal education and employment.

Why is Huancavelica such a poor region? For many residents, the answer is both simple and profound: because the most important resources in the region (human, animal, agricultural, mineral, hydro-electric) are exploited to serve the interests of outsiders. The faded colonial style of the city itself testifies to this: aristocratic houses and lavish churches serving as reminders of the period of mining exploitation. During the colonial period indigenous people were employed as servants or even as "pongaje" (effectively slaves). However, resources were directed towards foreign markets, with mine owners reinvesting very little in the region. Instead they encouraged centralism and the plunder of regional resources: the railway line to Huancayo and highways to the coast all built to reinforce these distribution channels. In the case of agriculture, merchants from Huancayo dominate the markets, extracting low prices from farmers (the word *huanca* is regionally used to mean "negotiator"). The trade in Alpaca and Vicuna wool is also controlled by outsiders.

To conclude, although Alegria lies only on the edge of Huancavelica and is oriented towards Huancayo, it can be expected that many of its residents feel a stronger cultural and political ambivalence towards the modernization and

globalization that the cities of Huancayo and Lima represent. This can be portrayed in stereotypes: of the more business-oriented and urban savvy Spanish-speaking *mestizo* in the Mantaro Valley and of the Quechua-speaking Indian *communero* in Huancavelica. But it is more accurate to imagine a many layered cultural landscape, providing people with opportunities to develop nuanced and indeed multiple identities, "cultural styles" and performances, deeply influenced but not wholly dictated by place of origin and race.¹⁴

3. Social identities by site

By social identity we refer to how people are perceived or labeled by others. This is of course highly political. The same label can also carry multiple, ambiguous and constantly changing meanings depending upon who is using it and in what context (Wright-Revolledo, 2007) and this presents a methodological dilemma. On the one hand it is evident that social identities have an important bearing on wellbeing: both directly, through their influence on how people feel about themselves and are perceived by others; and indirectly, though their influence on status, power and access to resources. On the other hand, use of such labels in field work is fraught with difficulties, both ethical and of interpretation. For example, a question in the RANQ survey that asked people to describe themselves yielded data that was very difficult to interpret: most people opting simply to state where they came from in geographical terms.

This section is based instead on closed questions included in the first round of the WeDQoL survey, comprising lists of social labels that the field team knew to be widely understood in the region. While, it is likely that some answers were influenced by the respondent's perception of the social identity of the interviewer, most had been interviewed by the same person at least once before and were familiar with their presence in the locality. The data does also reveal significant differences between sites as well as insight into the underlying complexity of the issue. In the Peruvian context we have already alluded to the way the colonial settlement established a radicalized class hierarchy between *blanco* (white, of Spanish birth), *criollo* (white of Peruvian birth), *mestizo* (mixed race) and *indio* (indigenous) categories (Manrique, 1999; Quijano, 2000). We have also described attempts to influence these labels by reviving highland (*serrano*) Andean cultural identity ("*lo andino*"). A further important evolution in social identity that is associated strongly with migration is

emergence of the label *cholo*. While its meaning remains fluid and much debated, a first approximation to it is the idea of the peasant migrant to the city who gradually fuses indigenous and *serrano* identities with other influences (Manrique, 1999:6). The remaining labels used in the questionnaire are more explicitly racial in origin: *negro* (black), *charapa* (Amazonic Indian) and *chino* (East Asian, but not just Chinese as illustrated by reference to Fujimori as '*El Chino*' despite his Japanese ancestry).

The sample comprised 550 individuals. Of these, 45 percent were men, 27 percent under 25 years of age, 63 percent aged 25-45 and 10 percent over 45 years. Gender and age were not significantly different between sites. In contrast, there were significant differences between sites with respect to residential status and religion (see Table 6). In Llajta Iskay, Llajta Jock, Alegria and Descanso more than three-quarters of respondents had been resident there for more than 15 years, and a majority had been born there. In the two urban sites, in contrast, a majority were born in a "very different place" and had been resident for less than 15 years. Selva Manta represented an intermediate case; a higher proportion of respondents there were also Protestant.

Table 6. Demographic details of the WeDQoL sample

		Llajta	Llajta	Selva	Aleg-	Des-	Prog-	Nuevo	Total
		Iskay	Jock	Manta	ria	canso	reso	Lugar	Total
Residence is	n site								
1-5 years	N	7	3	3	8	6	26	18	71
	%	11.7	5.0	10.0	8.0	6.0	26.0	18.0	12.9
6-15	n	6	7	11	13	17	41	62	157
years	%	10.0	11.7	36.7	13.0	17.0	41.0	62.0	28.5
Total	n	60	60	30	100	100	100	100	550
Where born	?								
Here	n	54	37	18	80	92	13	0	294
	%	90.0	61.7	60.0	80.0	94.8	13.0	0.0	53.7
In a very	n	1	3	1	10	0	71	65	151
different	%	1.7	5.0	3.3	10.0	0.0	71.0	<i>65</i> 0	27.6
place		1./	5.0	3.3	10.0	0.0	/1.0	65.0	21.0
Total	n	60	60	30	100	97	100	100	547

Religion? (otherwise Protestant)									
Catholic	n	49	49	8	90	91	79	83	449
	%	83.1	81.7	28.6	95.7	95.8	80.6	84.7	84.4
Total	n	59	60	28	94	95	98	98	532

Turning to social identity, 329 of the 550 respondents were willing to describe themselves using one of nine terms offered to them: *mestizo*, *serrano*, *blanco*, *cholo*, *indio*, *negro*, *criollo*, *charapa* and *chino*. 52 percent of these respondents described themselves as *mestizo* (mixed race), 25.2 percent as *serrano* (highlander), 11.2 percent as *blanco* (white), and 3.6 percent as *cholo*. (See Table 7). Site differences were significant. The term *serrano* was used mostly by respondents in the urban and, to a lesser extent, the peri-urban sites. The term *blanco* was used more by people in rural sites, particularly those in Huancavelica.

Table 7. Self-categorization by site

percent of site	Llajta	Llajta	Selva	Aleg-	Des-	Prog-	Nuevo	Total
responses	Iskay	Jock	Manta	ria	canso	reso	Lugar	Total
Mestizo	53.3	70.0	90.0	53.3	56.7	41.7	30.5	52.6
Serrano	13.3	3.3	0.0	23.3	30.0	36.7	40.7	25.2
Blanco	26.7	20.0	10.0	15.0	6.7	5.0	6.8	11.2
Cholo	3.3	0.0	0.0	1.7	3.3	10.0	3.4	3.6
Criollo	0.0	0.0	0.0	0.0	0.0	1.7	15.3	3.0
Indio	3.3	0.0	0.0	3.3	1.7	1.7	0.0	1.5
Charapa	0.0	0.0	0.0	1.7	1.7	1.7	3.4	1.5
Negro	0.0	6.7	0.0	1.7	0.0	1.7	0.0	1.2
Total (no.)	30	30	30	60	60	60	59	329

In 67.7 percent of cases the respondent used the same category that they applied to themselves to describe their community as well. The main divergences were that 7.3 percent of respondents called themselves *mestizo* but their community *serrano*, and 8.5 percent described themselves but not their community as *blanco* (see Table 8). The second of these divergences is explored further here, on the assumption that it might reveal more about how social identity differs between sites. Table 9

compares the use of the label *blanco* in response to six different questions, betweensite differences in the frequency of responses being statistically significant in all cases.

Responses to Question 1 reveal, quite contrary to what might be expected, that a higher proportion of respondents (one third) categorized their community as blanco in Llajta Iskay than in any other. More of them also categorized themselves in the same way. 15 In both cases the frequency of this response was next highest in the other rural Huancavelica site (Llajta Jock). This contrasts with the categorization of the interviewers who were half as likely to categorize respondents in any site as blanco. 16 Respondents in Llajta Iskay were most likely to say they regarded the majority of blancos as "good" or "very good". A higher proportion of respondents in Llajta Iskay and Llajta Jock also said that if it was possible to be born again, then they would wish to be blanco; whereas in all other sites the majority said they would wish to be either serrano or mestizo. Their view of the level of respect accorded to blancos in Peru was not markedly higher, this being lowest in the two peri-urban sites. This data serves as a warning against a simplistic assumption that the closer people are to Lima the more they identify themselves with being blanco. A tentative interpretation is that in the rural sites of Huancavelica fewer people are content with the way they categorize themselves and are categorized by others.

Table 8. Self and community categorization of social identity compared

Self-categorization										
Commun	ity		Mestiz	Indi	Chol	Negr	Criol	Serran	Chara	
categoriza	ation	Blanco	0	0	0	0	lo	0	pa	Total
Blanco	No	9	6	0	0	0	0	2	0	17
	%	2.7	1.8	0.0	0.0	0.0	0.0	0.6	0.0	5.2
Mestizo	No	17	137	1	1	2	2	10	2	172
	%	5.2	41.6	0.3	0.3	0.6	0.6	3.0	0.6	52.3
Indio	No	1	1	2	0	1	0	2	0	7
	%	0.3	0.3	0.6	0.0	0.3	0.0	0.6	0.0	2.1
Cholo	No	3	3	0	7	0	2	2	0	17
	%	0.9	0.9	0.0	2.1	0.0	0.6	0.6	0.0	5.2
Negro	No	0	2	0	0	0	0	0	0	2

	%	0.0	0.6	0.0	0.0	0.0	0.0	0.0	0.0	0.6
Criollo	No	1	0	0	0	0	1	0	0	2
	%	0.3	0.0	0.0	0.0	0.0	0.3	0.0	0.0	0.6
Serrano	No	6	24	2	4	1	5	67	3	112
	%	1.8	7.3	0.6	1.2	0.3	1.5	20.4	0.9	34.0
Total	No	37	173	5	12	4	10	83	5	329
	%	11.2	52.6	1.5	3.6	1.2	3.0	25.2	1.5	100.0

Table 9. Use of the term blanco (white) by site

% of site responses		Llajta	Llajta	Selva	Aleg-	Des-	Prog-	Nuevo	Total
		Iskay	Jock	Manta	ria	canso	reso	Lugar	Total
1. Community	No	10	3	1	1	0	2	0	17
	%	33.3	10.0	3.3	1.7	0.0	3.3	0.0	5.2
2. Self	No	8	6	3	9	4	3	4	37
	%	26.7	20.0	10.0	15.0	6.7	5.0	6.8	11.2
3. Interviewer	No	3	0	2	7	3	1	0	16
	%	10.0	0.0	6.7	11.7	5.5	1.7	0.0	5.0
4. Good or	No	28	19	24	52	47	34	49	253
very good?	%	93.3	63.3	80.0	86.7	78.3	56.7	81.7	76.6
5. Personal	No	18	18	13	26	9	12	8	104
ideal?	%	60.0	60.0	43.3	43.3	15.0	20.0	13.3	31.5
6. Not content	No	5	2	2	9	4	2	2	26
with category	%	16.7	6.7	6.6	15.0	6.7	3.3	3.3	7.9
7. Respected?	No	28	25	29	44	52	58	57	293
(yes)	%	93.3	83.3	96.7	73.3	86.7	96.7	98.3	89.3

Note. The actual questions were as follows. 1. In this community the people are [...]?

^{2.} You are [...]? 3. classification of research investigator [...]. 4. How do most people regard the following [...]? (very bad, bad, good, very good). 5. If you had the chance to be born again, what would you be like? 6. Are you content to be [response to question 2]? (very discontent, discontent, content, very content). 7. Are [...] respected in Peru?

4. Community profiles

This section provides a brief narrative overview of each research site, including physical features, demography, culture, history, livelihoods, social organization and external services based on information collected between 2003 and 2005. Statistics, unless otherwise stated, are for 2002. We start with the three small hamlets, then describe the two peri-urban district centers, and finish with the two urban settlements.

4.1. Llajta Iskay

Llajta Iskay is located at an altitude of 3,500 meters overlooking the gorge cut by the Mantaro River after it crosses the boundary from Junin to Huancavelica. It is an annex of Alegria district, whose headquarters is 25 kilometers away by unpaved road. Llajta Iskay has a population of 365, of whom 90 percent are Catholic and the remaining 10 percent evangelical. The latter are divided into two groups, who make regular use of adjacent chapels, while a priest only rarely visits the very dilapidated Catholic chapel. The district mayor offered to help renovate the church if all denominations agreed to share it, but this was not accepted. The most important festivals are the Festival of the Cross in May and *Santiago* in July, when many migrants return to the village from Lima and Huancayo (see Table 10). Quechua is the first language in nearly all households, but three-quarters of the population are bilingual in both Quechua and Spanish: children and younger people put pressure on family members and teachers to speak Spanish so that they can learn it too.

Most land is held by an association of communal farmers comprising 73 members, with land distributed among households and some plots retained for communal cultivation. The land controlled by the association has been reduced through conflict, including an unresolved dispute over grazing land with a neighboring *hacienda*. In the last ten years the community tried to reclaim control of some of this land through Courts of Justice in Tayacaja and Huancavelica, but the dispute remains unresolved. Membership of the communal association is effectively compulsory for everyone over the age of 18, except the very old and even they complain of being forced to do communal work after they have retired. A small amount of privately titled land also exists and can be purchased and sold, and there is a longstanding land conflict over some of this between two of the families.

Agriculture is the main economic activity (followed by cattle raising) but none of the

land is irrigated. The main agricultural products are potatoes, peas, barley and beans. These are sold internally or carried by lorry to Huancayo or Lima. All households keep domestic animals like cows, sheep, goats, donkeys, horses, rabbits, guinea pigs, pigs, chickens, dogs and cats. Wild animals in the area include pumas and foxes. Communal working practices are very important to the farming system, and include *ayni* (reciprocal help between two people), *minka* (everyone working together for one person at harvest time, during the sowing season or to build a house, for example) and *faena* (communal work for the benefit of the community, including cleaning ditches and improving roads). ¹⁸

Leadership of the community is divided between the teniente gobernador (representative of the government), the justice of peace, the municipal agent and the president of the communal association. 19 There is much comparing of current with past incumbents and competition between posts, fuelled by longstanding personal rivalries. For example, a faena was organized to build an adobe lock-house in response to anger at young people returning to the village from outside and not being controlled by their families. ²⁰ But it remained unused for some time while argument raged about who should authorize its use. Meanwhile, another faena was organized to install new water pipes. These were provided (with much fanfare) by the municipality but their quality subsequently became a matter of fierce argument. A much bigger dream is to secure electricity, but most people are skeptical that the community will ever manage to organize itself effectively enough to do so. There are no formal links with outside political parties, indeed, most people perceive themselves to be unaffected by outside politics and view elections as a chore because they are forced to travel to Alegria to vote. Politicians only come to the community during municipal or presidential elections.

The Government supports a pre-school and primary school with four teachers and 113 pupils between them, but attendance is low. Many children do not complete primary school, leaving to work in agriculture instead. Many marry when as young as 14 years old, and almost all by the age of twenty, by seeking permission within the community and without *servinacuy* (living together first). While many dream of securing education for their children outside their community they also complain about being unable to finance such an investment from farming income. Meanwhile

the nursery and school buildings have been eroded by rain and are at risk of collapse. People's commitment to the school has also been undermined by disputes with one of the teachers, suspected of stealing school materials.

Most houses have piped water, but there is neither electricity nor public sanitation. There is a health centre in the community, but it is rarely open due to the absence of staff and people tend to rely on traditional medicine. According to the visiting nurse, the most frequent health problems are acute malnutrition, pneumonia, bronchial infections, intestinal parasites and skin diseases. Additional problems affecting women include vaginal infections, inflamed ovaries and rheumatism. The death of a prominent member of the community (probably of AIDS) prompted widespread anger, fear, suspicion and accusations of evildoing.

The community also has an administrative building; there is one public telephone and four small stores that sell groceries, including liquor and coca. Government provides food assistance through free school meals and there is one 'glass of milk' group. ²¹ The government agency *PRONAA* also provides products like tuna, rice, sugar, oil, yucca flour, corn and milk for children each month through the school. Cáritas, a Catholic NGO, supports barley production and land conservation through terracing. The Ministry of Agriculture has promoted planting of eucalyptus and pine, and a *faena* was organized to establish the tree nursery, though not without some internal dispute, because the site selected had traditional Andean spiritual significance to the community.

Table 10. Seasonality in the Mantaro Valley: highlights

Month	Seasons	Crop farming	Main festivals	
January	Wettest period.	Land cultivation and	New Year	
February	Limited work and	weeding	Carnival	
March	money			
April	End of the rainy	Main harvest period for	Easter	
	season	rain fed crops		
May	Dry and sunny, but		Festival of the Cross	
June	cold at night			

July			Santiago
August			
September		Land preparation and	
October	Start of the rainy	sowing	
	season		
November			All Saints
December			Christmas

Source: Detailed seasonal profiles for each site compiled by the field team.

4.2. Llajta Jock

Llajta Jock is located 11 kilometers along the dirt road to Llajta Iskay from Alegria. It is also an annex of Alegria, with a similar mix of Catholic and Protestant, but the population is smaller (212). Most people speak Spanish and Quechua, while a few older people speak only Quechua and around 20 percent (all younger people) speaking only Spanish. Important festivals include *Santiago* and *Jalapato* in July, for which many migrants return home. ²² Other festivals have been abandoned as there are so few people living there. 44 houses are inhabited, all made of adobe with tiled roofing and mostly two storey. Llajta Jock is situated on land inherited by one of four children of the owner of a nearby *hacienda*. The settlement was formally recognized as a separate annex in 1976.

As in Llajta Iskay, the main economic activities are crop and livestock farming, and there is also an association of communal farmers. More land is in private ownership and many people work as laborers for larger farmers, earning 8-10 Soles per day. Some lands are irrigated and so can generate two harvests each year. Previously the only crops were barley, wheat, beans, peas and native potatoes. But in the last five years, richer farmers with links in Huancayo have bought land in the community and begun to grow a wider range of potatoes, also introducing new types of fertilizer, insecticide and fungicide. There is no access to bank credit for the population, but loans can be obtained in emergencies from the association of communal farmers or from neighboring families. The communal association possesses plantations of eucalyptus which are sold when required and also owns gypsum deposits. Cáritas technicians from Alegria offer some agricultural and

nutritional advice. There are three small shops, but Alegria is the main market for selling produce.

There is a pre-school with 28 children and a primary education centre with 53 students, but they have insufficient teaching resources such as text books and other materials. Adult illiteracy is 52 percent for women and 48 percent for men. As in Llajta Iskay, the community does not place great emphasis on education, preferring children to work on the farms and in the weekly market. But increasing numbers of children do continue their education, by attending the secondary school in Alegria. This is linked to a higher incidence of migration, destinations including Huancayo, Lima and the central jungle where people go to assist with coffee harvesting (especially between January and March). Many families receive groceries, clothes and money from relatives who have moved to Lima. There is a health centre in the community, staffed by two health technicians who work in coordination with the health centre in Alegria. 70 percent of the population use the health post, with the remainder relying on traditional medicine. Common ailments are similar to those in Llajta Iskay. The community has had an electricity supply since 2003, and a bus service runs to and from Alegria twice a week.

To become a member of the community, one must be 18 years old and resident in the hamlet for at least one year. The community has an elected council, governor and municipal agent, and an irrigation committee which manages water resources jointly with two neighboring communities. 50 percent of women are married while 45 percent live with a man outside of marriage. There is only one woman who has a position in the council and one working for Cáritas. As in Llajta Iskay, the community does not engage much in wider politics, the main influence of government being the school and clinic. Ingredients for school meals are provided by PRONAA and there is a 'glass of milk' committee. Many traditional customs have declined, including respect for the authorities and participation in communal work (faenas). This is mostly attributed to out-migration and children's exposure to inappropriate behavior through television.

The community has had a long running legal dispute with a private organization over control of a lime quarry. Money has been raised to pay legal costs

through *faenas* and by appealing to relatives in Lima. Residents dream that the quarry could provide them with better income than farming, and that this would encourage more people to live there. The community also fought and eventually won a ten year legal battle with a large landowning family over disputed land. The same family provoked much anger when their grain mill caused a break in the village electricity supply. This was eventually resolved with mediation by the school teacher, and the mill no longer operates.

4.3. Selva Manta

Selva Manta is located at an altitude of between 1,400 and 1,800 meters in cloud forest in the north-east of the department of Junin, and in the province of Jauja. It is an annex, located 12 kilometers from the district centre and more than 30 kilometers from the nearest asphalt road. The road to the hamlet was completed in 1970 at the initiative of a timber company from Lima, supported by the community and municipality. The population is 560, of whom 90 percent are evangelical Protestants, who have services three times a week in their own chapel. Most of the population originate from the Mantaro Valley and speak Spanish as their first language: only a few more recent migrants from Huancavelica and Huancayo know Quechua, but rarely use it in public.

The village was first established by Franciscan missionaries, the site previously having fallen within the territory of nomadic indigenous communities. Italian immigrants arrived at the beginning of the 20th century and colonized the zone, constructing six private *haciendas* and investing in sugarcane cultivation and livestock rearing. Their descendents have mostly moved to local towns or Lima, but continue to control much of the land. The most important festival for the community takes place in the district centre in July, marking the anniversary of district. It lasts three days, with *jalapato* and traditional dances such as *carnivales de Jauja* and is attended by people from other annexes and many out-migrants returning from Lima and Huancayo. Typical food is *pachamanca*, with ingredients like cassava, sweet potato, meat and the drink *warapo*. Other festivals have stopped as a result of the influence of evangelical majority, who on occasions have also interrupted the Catholic mass. Young people increasingly socialize separately, meeting people from neighboring annexes to drink *chicha* and other beverages.

Most people (men, women and children) work in the *haciendas* as agricultural laborers earning around 10 Soles a day, often paid in-kind. Sugar cane is the most important product, followed by coffee and *aguardiente* (sugar cane liquor). The climate is warmer than in Llajta Iskay and Llajta Jock, the alluvial soils are better and cultivation is more intensive. Selva Manta does not have a communal association of farmers and most people do not own land. Products are sold in San Ramon, Lima and Huancayo. Forest land is important for food, building materials, tools, firewood and medicine. Streams and rivers are abundant: water wheels power the sugar cane crushers, and fish are an additional source of protein. Selva Manta possesses a great biodiversity of flora and fauna and its residents are quick to bemoan its unrealized eco-tourist potential.

Selva Manta has its own primary school, and in comparison with Llajta Iskay and Llajta Jock parents are much more supportive of their children's education. But for secondary or higher education children must travel outside the district. Most leave school early to work in agriculture and it is common for women to have their first children by the age of 16. Temporary migration is also common for trade and social purposes: indeed many families effectively operate with rural and urban household bases, the latter most commonly in San Ramon. Employment in production of sugar cane and *aguardiente* attracts seasonal migrants, mostly from elsewhere in Jauja.

In contrast to the thriving primary school, the health post is not working and there is no access to electricity or piped water. PRONAA supports school meals and there is a 'glass of milk' committee. But the most important organization in the settlement is the *ronda campesinas* (village militia) created at the behest of the Peruvian Army in 1990. During this period conflict between the army, MRTA and Shining Path resulted in a curfew from 18.00 hours and forced women and children to hide at night in the fields, while many moved away to nearby towns and to Lima. Although most people have returned, this experience continues to cast a shadow of mistrust over the community, and men aged 17 to 40 years are still required to belong to the *ronda*. They are given weapons by the Peruvian army, who periodically visit for inspection and training.

4.4. Alegria

The first references to Alegria are found during Inca times, as one of many resting places, approximately ten leagues apart, along the main Inca highway between Cusco and Quito. Alegria has been a district centre since 1912, and this is celebrated annually. The new municipal offices on the plaza are a striking homage to modernism, complete with tinted plate glass windows and roof-top satellite dish. The district consists of hills and valleys ranging between 2,500 and 3,600 meters above sea level. Its total population in 2002 was 5,440, nearly three-quarters of them dispersed among 16 rural annexes (including Llajta Iskay and Llajta Jock), with an overall density of just under 35 per square kilometer. According to municipal figures, the total district population grew by the surprisingly high figure of 10 percent between 1999 and 2002. 23 However, these figures also indicate that the proportion of men living in the rural annexes actually fell by 2 percent, whereas their female population grew by 13 percent. In contrast, the population of men and women living in the municipal area rose rapidly: by 26 percent and 19 percent respectively. The result was a fall in those living in annexes from 72 to 69 percent of the total population, and a rise in women's share of the population (particularly in annexes) to nearly 54 percent.

Having already described two of the district's annexes in some detail, this section presents data on the population living in the municipality itself, this having been selected as a separate research site. It can in turn divided into six quite widely dispersed neighborhoods (barrios) separated by fields and straddling the main road between Huancayo and Huancavelica. Although they share a single town cemetery, each barrio has its own dominant families, municipal representatives, community organizations (including 'glass of milk' committees) and identity. Periodic conflicts rise up within and between them: one barrio would prefer to split into two; two others are in dispute over water rights from a common stream; and a third in conflict with a neighboring annex over water rights.

With most households heavily dependent on agriculture it would be misleading to describe the municipality as urban – hence the term peri-urban. Most households do have access to electricity and piped water, but only one *barrio* has mains sewerage and more than 90 percent of houses are made of mud brick. The number of private houses (509) compared to the number of households (about 350)

indicates much non-resident ownership of property by ex-residents now living in Lima and Huancayo who also attract residents to work in their urban businesses. But a growing number of older people (from outside and inside the district) have also chosen to settle in the town, renting a few rooms and often living off a small pension.

At district level 4,000 hectares of land (of a total area of 17,000 ha.) are cultivable, and 8 percent of this can be cropped more than once in the year through seasonal irrigation from streams. Within the six barrios of the municipality itself 310 people belong to a single communal farmers association. Membership is open only for those over 18 who have a partner and have been resident (and active in meetings) for at least two years. Most households own less than three hectares of land and sharecropping is common: the tenant taking between half and three-quarters of the income, depending upon the crops and on who pays for inputs. Cultivation mostly relies on oxen, and the main crops are barley, potatoes, wheat, peas, beans, and maize. Since 1998, particular effort has been made by the municipality and Caritas to improve production and marketing of barley. An annual barley festival in the plaza attracts competitors from throughout the province, and a grain processing centre was completed in 2004. However, farmers were wary of weakening often longstanding links with private traders, and the centre procured less than 30 tones. The Caritas technicians have also set up revolving seed funds with farmers' groups to encourage use of new seed varieties and techniques. But they admit progress had been slow, blaming this on both the farmers' risk-aversion and (more vaguely) cultural misunderstandings. Farmers themselves complained particularly about the time burden of attending weekly *faenas* to help cultivate demonstration plots.

Other livelihoods include farm laboring (earning 8-10 Soles per day), trading (mostly women, who can earn up to 20 Soles per day) and house-building, which can be much more lucrative. Although the town has neither a bank nor a post-office (and only one very erratic public telephone) there is a major market each Friday (established in 1938) which attracts cattle and sheep traders from the surrounding area and from Huancayo city. Non farm paid work is provided by the municipality, a sawmill, a metal workshop, a petrol station, a hotel with five rooms and three small bakeries. Many people are unemployed or underemployed. Seasonal migrants, including many secondary school leavers go to Lima, Huancayo and to the central

jungle areas in January to find work harvesting coffee, returning to Alegria for festivals in July and August.

Unpaved roads and tracks link Alegria with surrounding communities. The main road from Huancayo to Huancavelica was widened and upgraded in 2004. This was the source of various conflicts. Workers came mainly from outside, some bringing their wives, but others renting local lodging and causing problems with residents that resulted in two of the three disco venues in the town being closed down. Some local people were employed by a sub-contractor (at a rate of 14 Soles per day) but on several occasions it lacked funds to pay them at the end of the month, causing them to go on strike. Meanwhile owners of small shops and restaurants, and those able to rent out rooms enjoyed a temporary increase in income, while at the same time fearing that completion of the new road would eventually result in fewer passers-by stopping. In contrast the Mayor has ambitions to develop the town as a recreational and ecotourism centre. In addition to the plaza with its attractive view, there are fossil beds, the old Inca road and limestone caves to visit.

Those with houses adjacent to the road that had to be destroyed when it was widened received compensation, but conflict arose over the amount, particularly in the case of a local lawyer. Other residents argued that he obtained and extended his house deliberately to get more compensation. He then refused to vacate it until the Road Ministry agreed to give him more money, thereby delaying settlement and completion of the works for the whole community. The president of the communal association also claimed he had not paid the proper amount for the house. Others accused the lawyer and his wife of being greedy by putting their own interests ahead of those of the town. The lawyer in turn made accusations in the provincial court of aggravated robbery, usurpation of property and physical aggression.

Most of the adult population of Alegria town is bilingual in Spanish and Quechua, though a growing proportion of young people understand Quechua but cannot speak it. Approximately 80 percent of the population is Catholic, although only about a quarter regularly attend mass: most of the small chapels are generally in disrepair and used only for annual festivals, of which the most important are *Santiago* (25 July), the Virgin of Asuncion (15 August), All Saints (1 November) and Christmas (25 December). There are also three protestant churches with small

congregations.²⁴ Other community activities include football clubs, and three community radio stations which broadcast from 06.00 to 09.00 each day. A small openly gay group of at least five men live in the town. Despite much disapproval one member of this group (a resident for only two years who runs a small restaurant) was appointed *major domo* of the town's anniversary celebration.

There are two nursery schools, three primary schools, one secondary school and an occupational education centre. Secondary school children from the annexes live in the town during weekdays, and other children in the district go to Huancayo for their secondary education (total school enrolment in the district fell from 1,914 to 1,407 between 1993 and 2004). The road improvement makes it easier for teachers and health professionals to commute to work from Huancayo city (one way fare S./8), and also enables skilled workers to commute in the opposite direction.

The health services are insufficient in terms of infrastructure, equipment and staff. There is one health centre with a doctor, two midwives, a nurse and three technicians. The main problems it deals with are respiratory complaints, digestive problems, infectious diseases, urino-genital infections, injuries and nutritional deficiencies. According to the Education Ministry the malnutrition rate in children fell from 65 percent in 1999 to 55.8 percent in 2005, but these figures are much contested.

4.5. Descanso

Descanso is located in the Mantaro Valley to the North of Huancayo, at an altitude of 3,275 meters. It is 17 kilometers from Huancayo city and a short distance from a main road to Lima. Intact pre-Inca stone grain stores and other archaeological evidence indicate that there has been a settlement there for more than 500 years. But the town only acquired district status in 1952. It also lies only a few kilometers from the main town of a neighboring district and there is a strong rivalry between the two, sustained by unsettled land disputes, feuding between youth, and accusations of 'cross-border' house burglaries. The 2002 census indicates the district had a population of 5,900 (distributed between four urban *barrios* and three rural annexes), with about 10 percent of households having a dwelling in both the town and upland areas. 90 percent of the population is Catholic and 98 percent speaks only Spanish. The

and cement. 90 percent have access to electricity and drinking water, though lack of sewerage remains a widespread issue. Drinking water is provided to the town by a water users' association with 762 members. It has been running for thirty years and is regarded as the most effective organization in the district, with water quality checked quarterly by the Ministry of Health. The 2005 census estimate of 4,114 implies a dramatic 30 percent fall in population over just three years, and consistent with reports of a continued high rate of net migration away from the district.

Agriculture is the main source of income for 80 percent of households, with another 10 percent relying mainly on livestock income. Casual work is provided by five small brick making businesses and commercial limestone quarrying. One of the annexes also has a small handicraft cooperative. Only 530 out of nearly 15,000 hectares of land in the district are cultivated, and 10 percent of this is irrigated by canal from the Mantaro River. Much of the irrigated land is owned by people who have migrated away and sharecropped. At the other extreme are undulating upland pastures stretching up into the Huaytapallana mountain range. The slopes are widely forested and support a wide variety of wildlife including medicinal plants. They are also used for grazing domestic animals, and for rain-fed agriculture which causes localized erosion.

The communal association was registered in 1938. It has 170 members and controls 9,000 hectares of upland. Small cultivable plots are distributed annually for cultivation by lot, and members also have grazing rights and share in revenue generated from forestry (mostly eucalyptus). The association has had a long-running dispute with the largest private landowning family in the town, which it accuses of acquiring land illegally at the time of the formation of the district. Several attempts to resolve the dispute legally (and as part of the agrarian reform) have failed, and it is said to have prompted accusations of terrorism during the 1980s. While facing criticism for not doing anything to resolve this issue, the president of the community association also played an active part in protests against environmental damage by the two companies extracting limestone in the district. For a long time the companies sought to 'compensate' the community with gifts of cement and irrigation pipes, whereas the community demanded a comprehensive environmental assessment, followed by a legal settlement and payment of royalties. Meanwhile, production has

been interrupted. In 2003, the president of the association also entered into an agreement with a Spanish NGO to construct a school for 'Andean leadership'. But work was delayed by arguments among members over the terms on which the land was leased to the project. Rivals also accused the president of making unauthorized sales of timber, and though not proven this resulted in him being suspended a year ahead of his scheduled term.

The town has a health centre, staffed by eight professionals all of whom commute by bus from outside the district. Common problems include chronic bronchitis, diabetes, diarrhea, fevers and general infections. Approximately half of households use it as their first point of consultation, while the rest rely on a mixture of herbal medicines or buy their own drugs commercially. There is also an independent midwife in the town. She was said to provide a warmer, home-based service, but has attended few births since the clinic stopped charging for anti-natal and obstetric services. Awareness and use of contraception is quite high, but adolescent pregnancy remains very common. Another foreign NGO has funded campaigns to uphold the rights of children and keep the town clean. But its main function is widely regarded as providing a secure salary for its local staff.

The district has three pre-schools, four primary schools and one secondary school, with a total of 1,208 students and ten teachers (although only one lives in the district). About 70 young people also commute to neighboring towns and to Huancayo for further study. Adult illiteracy is much lower than in Alegria (9 percent among men and 16 percent among women according to the 1993 census), and there is active parent-teacher association. In 2003, the longstanding secondary school head stood unsuccessfully for election as district mayor. His supporters criticized the successful candidate (also a teacher) for having lived away from the district for most of his working life, returning with a party political affiliation and money to spend on the campaign in pursuit of his own political career. Conflict flared up later when the municipality unveiled a plaque on the school wall to commemorate improved concrete-block fencing and drainage. Angry parents removed it because there was no reference to their own contributions to the work, and the municipality reacted by calling the police to investigate what they regarded as an act of vandalism.

The most conspicuous acts of the municipality after taking office were to renovate its own offices and give the main square a makeover, which included removing most of the trees. This attracted further criticism and deepened a rift between those whose livelihoods were more rooted in the district (centered particularly on the communal association) and those with past or present working experience elsewhere. This was particularly evident at the main *Santiago* festival. While bigger than ever, this was dominated by visiting migrants, whereas local residents excluded themselves from the planning of it for fear of being asked to make financial contributions that they could ill-afford.

In 2004, the municipality hired a facilitator to organize three meetings to promote wider participation in its own planning (in line with national guidelines for decentralization). Fifty attended the first, forty the second and twenty the third. Many complained that the room was too cold and that decisions had been taken already. A year later the meetings were better advertised and explained. A district advisory board was also established, comprising representatives of the community association, market committee, neighborhood groups and NGOs. While many people were still critical of the mayor, they were more reconciled to him completing his term of office. Nevertheless, older residents complain that the district is less united than it used to be, pointing to the conflicts between schools and municipality, and within the communal association in particular.

4.6. Progreso

The *barrio* of Progreso, is in the southernmost of the three districts making up Huancayo city and has a total population of 3,540. The three poorest of its five sectors climb up barren hillside overlooking the city and it was these that were selected for primary research. Each hillside sector has its own elected management committee, involved (to varying degrees and not without internal conflicts) in improving water supply, sanitation, electrification and land titling. Inhabitants originate from Huancavelica and Ayacucho as well as from Junin, the majority being bilingual in Quechua and Spanish. Approximately three-quarters are Catholic, but to attend mass they must walk to a neighboring *barrio*. Important religious events include the Festival of the Cross on 24/25 May and the Virgin Carmen Festival on the 15/16 July. The remainder of the population belong to Pentecostal churches, of which there are

four, one of which holds services in Quechua. Relations between Catholics and Protestants are bad. Religious buildings have been vandalized and house burglary (mostly of small animals and electrical appliances) is often attributed to youth from the other faith group. Tensions also exist between Huancas and Huancavelicans, and in one sector these were conflated with a longstanding feud between two dominant families.²⁵

After 1943 the area was allocated to a communal (peasant) association, which still owns an office, some forested parts of the hillside and a cemetery. However, most of its property was appropriated by members in 1966, particularly by the family of the then secretary, who in turn illegally 'sold' it to refugees from the violence of the 1980s and early 1990s. Inhabitants of two of the three hillside *barrios* have still not obtained formal land titles for their house plots. Doing so has been complicated by irregularities in the sale of the land in 1966 as well as subsequently. ²⁶ In one sector further conflict arose when allegations of corruption were made against the president of the sector association. While the majority of residents regarded him as capable and honest, another committee member (who may himself have had ambitions to become president) accused the president of using the money collected to pay for lobbying on the land issue for his own meals and transport. Associates of this rival broke into the president's house in broad daylight, stole furniture, kitchen utensils and electrical appliances. The president did not report the robbery to the police, but is said to know who the culprits are and to have promised vengeance.

Cement roads link the lower neighborhoods of Progreso to the rest of Huancayo, with several minibus companies competing for the routes. In contrast, the higher sectors can only be accessed on foot, along steep unmade paths between the houses. Less than a quarter of buildings are made of brick and cement, the remainder being adobe, and only 68 percent of households have access to drinking water, mostly from wells dug 8 meters deep. The water is chlorinated and available without charge. In one sector, there is a water committee which, with the help of the FONCODES installed pipes to bring water from tanks higher up the hill. However, this water is rationed and only sufficient for 80 of the 150 households in the sector. Only 80 percent of houses having electricity, and those without have organized themselves into electrification committees to lobby for new connections.

There is no farmland in Progreso itself, but some individuals cultivate vegetables within their house plots. Many also breed guinea pigs and chickens, and a few have sheep, pigs and even cows. There are many informal livelihood activities in the neighborhood: firewood distribution, de-shelling garlic, trading firewood and sewing festival costumes, are examples. Most men work in and around the major wholesale market of the city, which is within walking distance, and also serves as a centre for recruitment of casual labor. The main occupations are street vendors (40 percent), market vendors (26 percent), construction workers (12 percent), agricultural laborers (10 percent) and cobblers (9 percent). There is also an association of rickshaw/cart (*vehiculos minores*) owners, which strictly controls the number of operators.

The *barrio* does not have its own school and only one sector has a nursery, with three staff and 80 children. Most children walk to schools in nearby areas, but there is a very high drop-out rate, with children leaving to find casual work in the markets. Only 52 percent of all adults in the three upper *barrios* have completed primary school. Domestic violence and mistreatment of children are common, and the medical centre estimates that 70 percent of children under six are malnourished. There are many unmarried couples living together, and many young single mothers. Most married couples are older, or belong to one of the Evangelical churches. The most feared problems are crime, alcoholism, domestic violence and drug-addiction.

Progreso is recognized by external agencies as an area of extreme poverty. The government health centre was relocated and expanded in 2005. It has a doctor, nurse, obstetrician, dentist and social worker. Popular canteens and 'glass of milk' committees operate in each sector where they are controlled by dominant families. There are several NGOs present, whose goals include protecting children's rights, promoting village banking groups and providing food assistance for malnourished children. There is very limited access to credit, especially in the two sectors where people have no formal land title. No national or regional political parties have branches in the neighborhood, and it is visited by politicians only during presidential, regional or district elections. The neighborhood representative is elected for a period

of two years and has organized the building of the medical post and communal work (*faenas*) to clean the streets and drains.

4.7. Nuevo Lugar

Nuevo Lugar is located approximately twenty kilometers east of the centre of Lima, a few kilometers north of the main highway to Huancayo. The lower part of the settlement, closest to the highway, is at an altitude of 550 meters, but houses stretch up the hillside to a height of more than 900 meters. The climate is warm, sunny and extremely dry, rainfall is minimal and the soils are largely barren. The area has been occupied since pre-Inca times, some Inca ruins remain, and after the Spanish conquest it was part of a large colonial estate. Most of the current population have moved there only in the last 25 years: the recorded population for 2000 (100,025) was more than twice that recorded in 1993 (44,526). Plans for a large settlement took place in 1984 and were formally approved in July 1985. The project was led by the municipality of Metropolitan Lima (controlled at the time by the United Left Party) in close collaboration with a network of Lima-based associations of migrants already resident in other parts of the city. The settlement was divided into 23 areas (each known by a letter of the alphabet), which were further divided into 239 neighborhoods called UCV (unidad communal de vivienda). Each recognized housing plot is 90 meters square, with 60 lots per UCV. However, these guidelines have subsequently been undermined by continued unplanned arrivals of migrants both into and above existing neighborhoods.

Zones are also informally classified into three by altitude: A to F being the lowest (and richest); G to I in the middle, and J to Z the highest (and poorest). In general more recent migrants are to be found in the higher zones, many of them arriving from the interior of the country (especially from Junín, Cerro de Pasco, Huancavelica, Apurimac and Ayacucho) during the worst periods of conflict in these areas. A census in 1985 indicated that just over half of household heads were born outside Lima and around 20 percent of the population was bilingual. Many inhabitants of the lower zones complain that the higher zones are chaotic havens of "gangs, thieves, drug addicts and prostitutes." In contrast, inhabitants of the higher zones often refer to those living lower down as "selfish, evil and land dealers". Older residents also complain that the settlement has become less well organized over time.

Nuevo Lugar is legally a *centro poblado* (population centre) of the district of Atí-Vitarte, but it also has a self-governing council elected by residents. ²⁷ This council has been campaigning for district status since 1987, but the municipality regards Nuevo Lugar as lacking a sufficiently diverse economy and skill base. When interviewed in 2005, the General Secretary of the self-governing body fiercely denied this: "there is nothing you can't get done here" he comments. He also criticized the municipality for prioritizing improvement of the main plaza, rather than allocating sums to improve hospital and other facilities for the growing proportion of residents who are elderly. Interviewed on the same day, the municipal agent described the settlement as immature and prone to *assistentialismo* (dependency culture). He dismissed the self-governing council as debilitated by political infighting.

Behind these brief comments lies a complex history of conflict within the settlement, which is reviewed in some detail in an appendix of the final report of the Truth and Reconciliation Commission. Problems arose in part from the electoral success of APRA in 1985 soon after the formation of Nuevo Lugar. Although it temporarily gained control of the self-governing council, the municipality quickly and drastically reduced technical and financial support to the new settlement. When the United Left took back control of the self-governing council it was politically weakened, and compromised by the need to negotiate deals with APRA in order to secure resources. At this time Shining Path cadres were also establishing their presence in the settlement. Many local people defied it (with strong support from within the Catholic Church), and criticizing it for being more interested in its own power than in development of the settlement. Nevertheless, Shining Path built up a strong presence: infiltrating secondary schools, playing a strong role in popular protests for more resources in 1988; using Nuevo Lugar as a base for blocking the central highway in 1989; and stage-managing the seizure of the potato harvest of a local landlord, during which one of his employees was shot. Shining Path also benefited from and accentuated (through intimidation and murder) the organizational weakening and loss of legitimacy of both APRA and the United Left.

On coming to power in 1991, the Fujimori government established a military base in Nuevo Lugar, giving soldiers a relatively free hand to search, intimidate and

arrest. It also quickly co-opted popular kitchens through use (and abuse) of its powers of patronage over food disbursement. As elsewhere, popular rejection of its message, and the resilience of local leaders and self-defense groups were instrumental in Shining Path's loss of influence, though it retained a presence in Nuevo Lugar long after the capture Abimael Guzman in 1992. A strong legacy also persisted of distrust, clientelism and eroded local political autonomy. For example, rivals for control of the self-governing assembly resorted to violence and assassination attempts in 2003, and meaningful decentralization of municipal control appears to remain a distant goal.

Economically, at least, the settlement has partially recovered. Private bus and taxi services operate in all zones, although quality of roads and frequency of service declines with altitude. The lower part of the settlement has electricity, water, drainage, telephone and internet connections. The higher parts are mostly electrified, and many now have at least some access to drinking water if not sanitation. ²⁸ Government also provides some night police and street cleaning. Private firms supply electricity, telephone, cable TV, education, health clinics, credit, security and transport. All the main political parties have branches in the settlement, and many NGOs are also active. However, 70 percent of the working population leaves Nuevo Lugar daily or weekly for the centre of Lima, where they work as domestic servants, in factories or in retailing. Within the settlement, most employment is in retailing and services. Nearly a quarter of all households have a female head of household. There is virtually no commercial agriculture, and only a little formally regulated manufacturing (shoemaking, carpentry and mechanical goods in an industrial park set up in 1986). Sand, clay, rocks and limestone are extracted on a small-scale for cement making and coastal defenses, but the work is dangerous and poorly paid.

Education is available through 33 state schools and 34 private schools, with 90 percent enrolment of school-aged children, and 5 percent illiteracy among adults, only 60 percent of whom completed primary schooling. The state higher education institute offers three courses: car maintenance, computing and electrical trades. In 2005, government also operated two public hospitals and six other health centers, and there are also more than 30 private health facilities and even more numerous pharmacies. The main health problems found in Nuevo Lugar are respiratory diseases, diarrhea, dehydration, nutritional deficiency, circulation problems, cancer, HIV/AIDS and

tuberculosis. As many as a quarter of households run short of food each month, depending for survival on communal kitchens and food distribution programs. 253 'glass of milk' committees provide food to 75,000 children, and there are 250 communal kitchens. As in Progreso, many young couples live together without legally marrying. Domestic violence is a major problem, and there are a large number of young single mothers, particularly in the high zones.

References

- Abraham, A. and Plateau, J.-P. 2004. 'Participatory development: where culture creeps in.', in Rao, V. and Walton, M. *Culture and Public Action*. Andhra Pradesh, India: Orient Longman
- Adams 1959. 'A Community in the Andes: Problems and Progress in Muquiyauyo.', Washington D.C.: University of Washington Press
- Almirall, C. (2006), *Collective action for public goods provision in low-income countries: a model and evidence from Peru.*, (Working Paper 245). Department of Economics, PUCP: Lima,.
- Altamirano, T. 1988. *Cultura andina y pobreza urbana: Aymaras en Lima Metropolitana*. Lima: Fondo Ed. PUCP
- Altamirano, T. (1996), *Informe preliminar sobre la Hermandad del Senor de los Milagros en Paterson.*, (Working Paper). Pew Charitable Trusts: Philadelphia,. Negotiating Political and Economic Crises: Peruvian and Salvadoran Christians in Latin America and the United States (project).
- Altamirano, T., Copestake, J., Figueroa, A. and Wright-Revolledo, K. 2004. 'Universal and local understanding of poverty in Peru.', *Global Social Policy* 4 (3): 313-336
- Alvarez Ramos, J. et al. 2005. Community profiles from a Central Peru corridor., www.welldev.org.uk . PUCP, WeD: Lima,.
- Anderson (2003).
- Apfell-Marglin, F. 2003. The spirit of regeneration: Andean culture confronting Western notions of development. London: Zed Press with PRATEC
- Appadurai, A. 2004. 'The capacity to aspire: culture and the terms of recognition.', in Rao, V. and Walton, M. *Culture and public action*. Standford, CA: Stanford University Press, Ch. 3, pp. 59-84
- Berman, M. 1997. 'Faust: the first developer.', in Rahnema, M. and Bawtree, V. *The post development reader*. London: Zed Books pp. 277-289
- Bevan, P. 2007. 'Researching wellbeing across the disciplines: some key intellectual problems and ways forward.', in Gough, I. and McGregor, J. A. Wellbeing in Developing Countries: from theory to research. Cambridge: Cambridge University Press
- Brass, T. 2002. 'Latin American Peasants new paradigms for old?', *Journal of Peasant Studies* 29: 1-40
- Clark, D. A. 2002. Visions of Development. Cheltenham: Edward Elgar
- Copestake, J., (40% co-authored with Altamirano, T., Figueroa, A. and Wright-Revolledo, K. 2004. 'Universal and local understanding of poverty in Peru.', *Global Social Policy* 4 (3): 313-336
- Copestake, J., Dawson, P., Fanning, J. P., McKay, A. and Wright-Revolledo, K. 2005September. 'Monitoring diversity of poverty outreach and impact of microfinance: a comparison of methods using data from Peru.', *Development Policy Review* 23 (5)
- Copestake, J. (2006), *Multiple Dimensions of Social Assistance: The Case of Peru's "Glass of Milk" Programme.*, (Working Paper 21). Wellbeing in Developing Countries Research Group, University of Bath: Bath,.

- Copestake, J. 2006. 'Flexible standards for controlled empowerment? Microfinance as a case-study of aid management.', in Folke, S. and Nielson, H. *Aid impact and poverty reduction*. Copenhagen, London: Danish Institute for International Studies, Palgrave/Macmillan
- Corporacion Latinobarometro (2005), Annual survey...
- Coxshall, W. 2005. Rebuilding disrupted relationships: widowhood, narrative and silence in contemporary Ayacucho, Peru. University of Manchester.
- Crabtree, J. 2006. 'Making institutions work in Peru.', in Crabtree, J. *Political parties and intermediation in Peru.* London: Institute for the Study of the Americas, University of London, Ch. 2, pp. 24-45
- CVR and [Truth and Reconciliation Commission] 2003. *Final Report.*, www.cverdad.org.pe/ingles/ifinal/index.php.
- De Soto, H. 2001. The mystery of capital why capitalism triumphs in the West and fails everywhere else. London: Black Swan
- de Vries, P. and Nuijten, M. 2003. 'Some reflections on the (mis)use of the concept of culture in Andean studies.', in Salman, T. and Zoomers, A. *Imaging the Andes: shifting margins of a marginal world.* Amsterdam: Aksant Academic Publishers
- Dean, H. (2003), Discursive repertoires and the negotiation of well-being: reflections on WeD frameworks., (WeD Working Paper 04). Wellbeing in Developing Countries Research Group, University of Bath: Bath,.
- Degregori, C. I. 2000. No hay pais mas diverso. Compendio de antropología Peruana [There is no more diverse country: compendium of Peruvian anthropology]. Lima: PUCP, IEP, UDP.
- DFID and World Bank 2003. Perú: Voces de los Pobres [Voices of the Poor]. Lima: DFID / World Bank
- DFID 2005a. *Alliances against poverty: DFID's experience in Peru, 2000 to 2005.* London: Department for International Development
- Diaz, Saavedra and Torero (2006).
- Doughty, P. 1970. 'Behind the back of the city: Provincial life in Lima, Peru.', in Mangin, W. *Peasants in cities*. Boston: Houston Editorial
- Doyal, L. and Gough, I. 1991. A Theory of Human Need. Basingstoke: Macmillan
- Easterly, W. 2002. The elusive quest for growth: economists adventures and misadventures in the tropics. Massachussetts: MIT Press
- Easterly, W. (2006), *Planners vs Searchers in foreign aid.*, Distinguished Speakers Programme, 18 January 2006, Manila: Asian Development Bank.
- Escobal 2001. 'The Determinants of Nonfarm Income Diversification in Rural Peru.', *World Development* 29 (497): 508
- Escobar, A. 1995. *Encountering development: The making and unmaking of the Third World.* Princeton, NJ: Princetown University Press
- Escobar, G. 1973. Sicaya: Cambios culturales en una comunidad mestiza andina. [Sicaya: Cultural Changes in an Andean Mestizo Community]. Lima: Instituto de Estudios Peruanos

- Escuela Para el Desarrollo (2007), *Monthly Reports*, Volumes over last 5 years. Escuela para el Desarrollo: Lima,.
- Esteva, G. and Prakesh, M. S. 1997. 'From global to local thinking.', in Rahnema, M. and Bawtree, V. *The post development reader.* London: Zed Books pp. 277-289
- Ferguson 1999. Expectations of modernity: myths and meanings of urban life on the Zambian Copperbelt. Berkeley: University of California Press
- Figueroa, A., Altamirano, T. and Sulmont, D. 2001a. Social exclusion and inequality in Peru. Geneva: International Labour Organisation
- Figueroa, A. 2001b. 'Reformas en sociedades desiguales. La experiencia peruana. [Economic reform in unequal societies. The Peruvian experience].', Lima: Fondo Editorial, Pontificate Catholic University of Peru
- Figueroa, A. 2003. La sociedad Sigma: una teoría del desarrollo económico. [Sigma society: a theory of economic development]. 1ª edición: 2003 edition. Lima: Pontificia Universidad Católica del Perú/Fondo de Cultura Económica
- Figueroa, A. 2001a. 'Social Exclusion as Distribution Theory.', in Gacitua, E., Sojo, C. and Davis, S. H. *Social Exclusion and Poverty Reduction in Latin America and the Caribbean*. Lima: World Bank / Facultad Latinamericana de Ciencias Sociales
- Fine, B. 2002. 'Economics imperialism and the new development economics as Kuhnian paradigm shift?', *World Development* 30 (12): 2057-2070
- Flores, J. 1977. Pastores de Puno. Lima: IEP
- García, M. E. 2005. *Making indigenous citizens: identities, education and multicultural development in Peru*. Stanford, California: Stanford University Press.
- Gough, I. and Wood, G. 2004. *Insecurity and welfare regimes in Asia, Africa and Latin America*. Cambridge: Cambridge University Press
- Gough, I. & et al (2007), Wellbeing according to WeD.. 17-5-0007.
- Gough, I. and McGregor, J. A. 2007. *Wellbeing in developing countries: from theory to research.*Cambridge: Cambridge University Press
- Gough, I. R. and Wood, G. D. 2004. *Insecurity and welfare regimes in Asia, Africa and Latin America*. Cambridge: Cambridge University Press
- Gölte, J. 1980. La racionalidad de la organización Andina [Rationality in Andean organisation]. Lima: IEP
- Hickey, S. and Bracking, S. 2005. 'Exploring the politics of chronic poverty: from representation to the politics of justice?', *World Development* 33 (6): 851-866
- Hirschman, A. O. 1982. Shifting involvements: private interest and public action. Oxford: Martin Robertson
- Hunt, D. 1989. Economic theories of development: an analysis of competing paradigms. Harvester Wheatsheaf
- Illich, I. 1992. *In the Mirror of the Past. Lectures and Addresses 1978-1990.* New York / London: Marion Boyars Publishers

- INEI (Instituto Nacional de Estadística e Informática) 2001. La pobreza en el Perú: una visión departmental [Poverty in Peru: a regional perspective]. Lima: INEI
- INEI (Instituto Nacional de Estadística e Informática) 2005. *Poblacion rural y urbana.*, *http://www.inei.gob.pe.* 20-1-2007.
- Isbell, B. J. 1973. 'La influencia de los migrantes en los conceptos sociales y políticos tradicionales.', Estudios Andinos Año 3 3
- Kahneman, Deiner and Schwartz 1999. *Wellbeing: The foundations of hedonic psychology*. New York: Russell Sage Foundation
- Kuczynski, P. P. and Williamson, J. 2003. After the Washington consensus: Restarting growth and reform in Latin America. Washington D.C.: Institute for International Economics
- Lobo, S. 1982. A House of My Own: Social Organization in the Squatter Settlements of Lima, Peru. Arizona: University of Arizona Press
- Long, N. and Roberts, P. 1978. *Peasant cooperation and capitalist expansion in central Peru*. Austin: University of Texas
- Long, N. and Roberts, P. 1984. *Miners, peasants and entrepreneurs: Regional development in the Central Highlands of Peru*. Cambridge: Cambridge University Press
- Mallon, F. E. 1983. The defense of community in Peru's Central Highlands: peasant struggle and capitalist transition, 1860-1940. Princeton University Press.
- Manrique (2003).
- Masías, P. 2002. *El mito del capital: respuesta a Hernando de Soto. [The myth of capital: a reply to Hernando de Soto]*. 2nd Edition edition. Arequipa: Edimaz
- Mayer, E. and De la Cadena, M. 1989. Co-operación y conflicto en la comunidad Andina: Zonas de producción y organización social [Cooperation and conflict in the Andean community: zones of production and social organisation]. Lima: IEP
- Mayer, E. 2002. The articulated peasant: Household economies in the Andes. Boulder: Westview Press
- Mehmet 1995. Westernizing the Third World, The Eurocentricity of Economic Development Theories. London: Routledge
- Orlove, B. 1974. 'Reciprocidad, desigualidad y dominación [Reciprocity, inequality and domination].', in Mayer, E. and Bolton, R. *Reciprocidad y Intercambio en los Andes Peruanos*. Lima: Pontificia Universidad Católica del Perú
- Parodi, C. 2000. Perú 1960-2000: Políticas económicas y sociales en entornos cambiantes. [Economic and social policy in Peru, changing directions]. Lima: Universidad del Pacifico
- Pieterse, J. N. 2001. Development theory: deconstructions/reconstructions. London: Sage Publications
- Poole, D. and Renrique, G. 1992. 'Perdiendo de vista al Perú [Losing the view in Peru].', *Alpanchis* 39: 73-92
- Quijano, A. 2000June. 'Coloniality of Power and Eurocentrism in Latin America', *International Sociology* 15 (2): 215-232
- Raczynski, D. 1998. 'The crisis of old models of social protection in Latin America: new alternatives for dealing with poverty.', in Tokman, V. E. and O'Donnell, G. *Poverty and inequality in Latin America: issues and new challenges*. Indiana: University of Notre Dame Press

- Radcliffe, S. A. and Laurie, N. 2006. 'Culture and development: taking culture seriously in development for Andean indigenous people.', *Environment and Planning D: Society and Space* 24: 231-248
- Rahnema, M. and Bawtree, V. 1997. The post development reader. London: Zed Books
- Rao, V. and Walton, M. 2004. Culture and public action. Stanford, CA: Stanford University Press
- Rodrik, D. 2006December. 'Goodbye Washington consensus, hello Washington confusion? A review of the World Bank's 'Economic Growth in the 1990s: learning from a decade of reform'.', *Journal of Economic Literature* 44: 973-987
- Romero, R. 2004. *Identidades múltiples: memoria, modernidad y cultura popular en el valle del Mantaro*. Lima: Fondo Editorial del Congreso del Perú
- Ryan, R. M. and Deci, E. L. 2001b. 'On happiness and human potentials: a review of research on hedonic and eudaimonic well-being.', *Annual Review of Psychology* 52: 141-166
- Sachs, J. D. 2005. The end of poverty: economic possibilities for our time. London: Penguin
- Schneider, A. and Zuniga-Hamli, R. (2005), *Governance hybrids: pro-poor, rights based approaches in rural Peru.*, (Working Paper 240). Institute of Development Studies, University of Sussex: Brighton,.
- Sheahan 1999. Searching for a Better Society: The Peruvian Economy from 1950. Pennsylvania: Pennsylvania State University Press
- Smith, G. 1989. *Livelihood and resistance: peasants and the politics of land in Peru.* Berkeley: University of California Press
- Starn, O. 1991. Reflexiones sobre las rondas campesinas, protesta rural y nuevos movimientos sociales [Reflection on farmers' militia, rural protest and new social movements]. Lima: IEP
- Starn, O., Degregori, C. I., Kirk, R. and et al 1995. *The Peru Reader: History, Culture, Politics*. Durham, North Carolina: Duke University Press
- Stepputat, F. and Sorensen, N. N. 2001. 'The Rise and Fall of Internally Displaced People in the Central Peruvian Andes.', *Development and change* 32: 769-791
- Tanaka, M. 2001. Participacion popular en politicas sociales. Cuando puede ser democratica y eficiente y cuando todo lo contrario. [Popular participation in social policy: when it can be democratic and efficient, and when precisely the opposite]. Lima: Instituto de Estudios Peruanos
- Tanaka, M. 2002March. *Relations between state and society in Peru: destructuring without restructuring.* Unpublished report to DFID:
- Tanaka, M. (2003), Relations between state and society in Peru. Destructuring without restructuring., Paper for the Peru office of DFID, published on CD-Rom to accompany DFID 2005 Alliances against poverty DFID's experience in Peru 2000-2005. DFID: London, Paper for the Peru office of DFID, published on CD-Rom to accompany DFID (2005) Alliances against poverty: DFID's experience in Peru, 2000-2005.
- UNDP 1990. Informe sobre Desarrollo Humano: Perú 1990 [Human Development Report]. Lima: UNDP
- UNDP 1996. Informe sobre Desarrollo Humano: Perú 1996 [Human Development Report]. Lima: UNDP

- UNDP 2000. Informe sobre Desarrollo Humano: Perú 2000 [Human Development Report]. Lima: UNDP
- UNDP 2006. Informe sobre Desarrollo Humano: Perú 2006 [Human Development Report]. Lima: UNDP
- Vasquez, E. and Mendizabal, E. 2002. Los ninos primeros? El gasto publico social focalizado en ninos y ninas en el Peru, 1990-2000. [Children first? Targeting of public social spending on children in Peru, 1990 to 2000]. Lima: Save the Children and Universidad del Pacifio
- Veblen, T. 1994. 'Conspicuous consumption.', in *The Theory of the Leisure Class* New York: Penguin Group , Ch. IV, pp. 68-101
- Vincent, S. 2004. 'Participation, resistance and problems with the 'local' in Peru: towards a new political contract?', in Hickey, S. and Mohan, G. *Participation: from tyranny to transformation*. London: Zed Books
- Wellbeing in Developing Countries Research teams 2007. WeD Working Papers, www.welldev.org.
- Williamson, J. 2003September. 'From reform agenda to damaged brand. A short history of the Washington Consensus and suggestions for what to do next.', *Finance and Development*: 10-13
- World Bank 2000. Peru at a glance World Development Report 2000-01., www.worldbank.org/poverty/voices .
- World Bank 2006. Equity and development: World Development Report 2005. Washington D.C: World Bank
- World Bank 2006. World Development Report 2006.
- Wright-Revolledo, K. (2007), You are not going there to amuse yourself. Barriers to constructing wellbeing through international migration: the case of Peruvian migrants in London and Madrid., Society of Latin American Studies Conference, 14 April 2007.
- Yamamoto, J., Altamirano, T., Alvarez, J., Feijoo, A. and Paucar, E. (2004), *Chapter 2. The rural community of Llaqta iskay: The conflict between what is traditional and the community's movement towards modernity.*. PUCP: Lima,.
- Yamamoto, J. 2006. 'Subjective wellbeing in the corridor.'. Unpublished. Lima: Psychology Dept, Ponticate Catholic University of Peru. Presented at the WeD Peru workhop in Huaychulo in June.
- Yashar, D. J. 2005. Contesting citizenship in Latin America: the rise of indigenous movements and the postliberal challenge. New York and London: CUP

¹ The concept is also used in Peru, by USAID for example, to delineate and promote supply chains with growth potential stretching from the coast into the interior of the country.

² This is described by Abraham and Platteau (2004) as a spectrum from personalized relations between culturally differentiated members of large urban settlements (e.g.

Nuevo Lugar) to culturally homogenous members of a small rural community (e.g. Llajta Iskay). Tanaka (2001) echoes this in his discussion of the relationship between community, clientelist and broking forms of leadership and participation in sites of relatively high (Nuevo Lugar, Progreso), medium (e.g. Alegria, Descanso) and low (e.g. Llajta Iskay, Llajta Jock, Selva Manta) "complexity" respectively.

- 3 The regional government of Junin (2003:72) uses the term to refer to the main road network linking Huancayo to Satipo via La Oroya and Tarma. USAID also adopted the term for a \$35 million commitment for the period 2002-2007 to a project entitled "increased economic opportunities for the poor in selected economic corridors of Peru." Huancayo and Huancavelica were included as separate corridors and a number of value-chains with potential to support the livelihoods of poor people were identified within them, including barley production in and around Alegria. Rather ironically (given its capacity to depress agricultural prices) much of the funding committed was in the form of food aid.
- 4 An attempt by the national government of Alejandro Toledo to establish macro planning regions based on the idea of linking coastal, highland and jungle regions was roundly defeated by referendum in October 2005. In Junin, 75 percent of voters said no to being linked with Ancash, Huanuco, Pasco and the provinces of Lima. In Huncavelica, in 2005 84 percent of voters said no to being linked with Ayacucho and Ica (Escuela Para el Desarrollo, 2007).
- 5 Previously it had been divided into three: Hatuna Xauxa, Lurin Huanca and Hanan Huanca, whereas in cultural terms there had been two distinct areas (Xauxa and Huanca) since the twelfth century.

6 Such studies include Richard Adams in Muquiyauyo (1959), Gavin Smith in Huasicancha (1989), Gabriel Escobar in Sicaya (1973), and Florencia Mallon in Acolla (1983).

7 Sheahan (1999:48) reports an annual <u>decline</u> in value added in agriculture in the 1970s for Peru of 0.6 percent, compared to an average annual GDP <u>growth</u> rate of 3.7 percent. Its contribution to GDP fell from 16.6 percent to 10.7 percent and food output per person fell by more than 20 percent.

8 UNCP was the centre of major infighting between MRTA, Shining Path and paramilitary during 1989. The police and army entered the main campus in June 1990, detaining more than 100 students, and in 1991 the army took control of the whole university. The military presence on campus came to an end only in July 1998.

9 These include financial and technical support for community infrastructure projects through FONCODES, provision of food to popular kitchens and for children's meals by PRONAA, and provision of milk powder and other food to 'glass of milk'

10 Many emigrants retain their cultural affinity by returning to participate in *fiestas*, These originate in *Kuruka* assertion of power in colonial times, combined with Catholicism, the agricultural calendar and celebration of 'the cycle of life'.

committees via municipalities.

11 This section is largely based on field notes by Altimirano and Álvarez following a visit to Huancavelica in 2005, translated into English by Michelle McCrory.

12 The manager of the President hotel, for example, remarked that "before our best clients were miners and business men, now its NGO workers and state civil servants".

13 *Antunez de Mayolo* is one of the largest power plants in South America, located on the Mantaro river at Quichuas, Colcabamba. However, it does not generate any benefits for the region, apart from a disputed monthly levy of 8 million Soles for the

province of Colcabamaba. Water to irrigate valleys along the Inca coast is also taken from the high lagoons of the department.

14 For a discussion of the idea of cultural styles see Ferguson, 1999.

15 The last column indicates that more of the respondents in Llajta Iskay were also discontent or very discontent with the social category they gave themselves.

16 Overall, the interviewers classified the respondents into three categories: 92.3 percent *mestizo*, 5 percent *blanco* and 2.8 percent *indio*. In addition to raising questions about the social identity and categorization of the interviewers themselves it also serves as a reminder that respondents' replies may also have been influenced by this.

17 For more detailed descriptions in Spanish see the WeD website http://www.welldev.org.uk/research/methods-toobox/cp-countries/cp-peru.htm 18 See Mayer (2002) for a fuller description and analysis of these institutions. 19 The communal association also has an elected secretary, treasurer, *vocales* (to notify people of meetings); and a *datarista* (registrar of births etc.). Posts are generally elected by a show of hands and there is much rotation of individuals between them – anyone completing a turn at all receives a special certificate. 20 Cattle rustlers and bandits (*abigeos*) have also been a problem. Some villagers were arrested by the police (who came from Pampas) and imprisoned for three years after they took the law into their own hands by killing one such thief. Some people in the community had suggested they tell the judges that everybody killed him, but they were unable to maintain a consistent story.

21 This is part of a national nutrition scheme that operated in all seven research sites, See Copestake (2006) for a detailed discussion of program drawing upon data collected in each site.

- 22 *Santiago* celebrates St James the Apostle but incorporates many pre-Colombian elements, particularly associated with care of animals. *Jalapata* involves the ritual honouring and slaughter of a duck whose last will and testament then becomes a vehicle for commenting on people and events in the village. It is celebrated in many other villages as well as in Huancayo and Lima.
- 23 Figure from the National Statistical Office suggest it then declined again to 5,072 in 2005.
- 24 Two of these have been embroiled in disputes. In one case the preacher was found to have two wives. In the other, the owner of the land on which the chapel was built died and his benefactor refused to accept their tenure. The leader of the congregation began to raise money to build a new one then ran away with it.
- 25 This flared up when the youngest daughter of a leading family became unexpectedly pregnant. Her father had been president of the sector association three times, and her mother was president of the sector 'glass of milk' committee and of the children's canteen. The head of the family of the suspected father had recently taken over as president, prompting an attempt to split the sector into two. Fortified by alcohol, members of his family visited the house of the first and became violent. The former president, his wife and one son were hospitalized. They took legal action, which is yet to be resolved.
- 26 When interviewed he said "these people come from other places, they are foreign people wanting to invade my lands. I could not permit that and had to sell it, practically giving it away. But they are not grateful; they throw away their rubbish and spoil my crops, almost like they do it on purpose."

27 The sub-municipal office also covers a smaller neighboring settlement. However, inhabitants of this settlement violently contested the planned settlement of Nuevo Lugar in 1985, laying weak foundations for subsequent collaboration between them.

28 Water to half the population (all in the lower zones) is supplied through a treatment plant from the river Rímac, whereas higher areas rely on water delivered by truck by the municipality and private water sellers.