

UKRI Open Access Compliance – quick guide

HOW DO I COMPLY?

When preparing to submit an article that must comply with UKRI, Wellcome Trust, CRUK, Horizon Europe funder requirements, you need to:

- Check whether you can publish Open Access through a Publisher ‘Read and Publish’ agreement (often referred to as ‘Transitional’ Agreement). See list of agreements, [here](#).
- If not, check whether you can get funding to cover the cost of Open Access. Use [APC Request form](#)
- If not, check that your journal is compliant with the UKRI policy on [permitting self-archiving](#) with zero embargo – the Library can help with this!
- If so, you will need to take Route 2 (green OA with RRS). See below for full details of **Route 2: Making your article open access in Pure**.
- If not, consider submitting to a different journal that does comply with your funder’s policy.
- Check [FlowChart](#) for a step-by-step guide to compliance

CHECK BEFORE YOU CHOOSE! If you are unsure about choosing a compliant journal, please use our [journal checker form](#) – ask for advice on up to five journals per request form. Or contact: openaccess@bath.ac.uk

SUMMARY OF THE NEW UKRI POLICY

[UKRI’s Open Access policy](#) allows immediate access to publicly funded research. The policy applies to:

- **Peer-reviewed research articles, review articles, and conference proceedings** published in a journal, conference proceedings with ISSN, or publishing platform and submitted for publication **on or after 1 April 2022**
 - Must be Open Access immediately upon publication with a CC-BY license.
- **Monographs, book chapters and edited collections** published on or after **1 January 2024**
 - Must be open access after a maximum 12-months’ embargo.

TWO POSSIBLE ROUTES:

- **Route 1 (gold open access): publish the research article open access in a journal or publishing platform which makes the final published version (Version of Record) immediately open access via its website with a CC-BY or other permitted license*.**
- **Route 2 (green open access): publish in a subscription/hybrid journal and deposit your authors’ accepted manuscript in Pure with a CC-BY or other permitted license*.** It must be open access immediately at the time of online publication - **embargoes are no longer permitted.**
On submission to the journal, include the following ‘Rights Retention Statement’ (RRS) in the Funding Acknowledgements section of the manuscript AND in any cover letter/note accompanying the submission:

‘For the purpose of open access, the author has applied a Creative Commons Attribution (CC-BY) licence [where permitted by UKRI, ‘Open Government Licence’ or ‘Creative Commons Attribution No-derivatives (CC-BY-ND) licence may be stated instead] to any Author Accepted Manuscript version arising’

The RRS statement provides prior notification to the publisher that you will deposit your Accepted Manuscript in Pure and make it immediately open access with a CC-BY licence.

NOTE: It is important that you do not subsequently sign a contract with the publisher that conflicts with these terms. If in doubt, please contact openaccess@bath.ac.uk for advice.

Route 2: making your article open access in Pure

If publishing via Route 2, you will have included the RRS in your submission to the journal and will need to record the following information in Pure when depositing your accepted manuscript.

On submission: create a record of your output in Pure and record the publication status as 'Submitted' with the date.

In the Bibliographical Note field (towards bottom of record), insert the funder name(s), grant code(s) and the words, 'RRS used'. NOTE: **if you do not add this information, when Library staff validate the record they will apply the publisher's required embargo to the manuscript and your article will not be compliant.**

On acceptance: add your accepted manuscript to the existing record in Pure and add the publication status 'acceptance date' with the full date. If you need help with Pure, contact openaccess@bath.ac.uk.

On publication: when your article is published online, add the publication status 'epub' to the Pure record and the full date.

*If you wish to use a more restrictive licence (CC-BY-ND) you can apply to UKRI for an exception using this [form](#).

OTHER POLICY REQUIREMENTS

- Your article must include a Data Access Statement, even where there are no data associated with the article or the data are inaccessible.
- ORCIDs are strongly encouraged for all authors – [more information is available](#)
- Articles can include third-party materials (such as images, photos or maps) that are subject to a more restrictive licence.
- MRC and BBSRC funded biomedical research articles must also be archived in Europe PubMed Central. If publishing via Route 1 (gold route) the journal will normally do this. Otherwise you need to deposit the accepted manuscript yourself via [Europe PMC Plus](#).

LINKS AND CONTACTS:

[University of Bath Library Open Access Homepage](#)

[Meeting Funder Requirements \(Library Webguide\)](#)

[Paying for Open Access \(Library Webguide\)](#)

[Publisher Open Access Agreements \(Library Webguide\)](#)

Help and support is available from: openaccess@bath.ac.uk