

University of Bath: Nagoya Protocol Compliance Survey - May 2023

Introduction

The Office for Product Safety & Standards (OPSS) in the Department for Business and Trade (DBT) is the UK's Competent National Authority for enforcing the Nagoya Protocol (Compliance) Regulations 2015. University guidance on the Nagoya Protocol can be found [here](#).

The OPSS has contacted the university to request details of all research activity that utilises genetic material conducted since 12th October 2015. This includes genetic material from plants, microbial, or animal origins. It does not include human material.

We have been asked to provide evidence that all research activity within this period has been conducted in compliance with the Nagoya Protocol. This survey is designed to collect the required information.

Please complete this survey at your earliest convenience, and at the latest by Tuesday 30th May 2023.

If you have any questions, please contact the Research Governance & Compliance Team via research-governance@bath.ac.uk.

Personal Details

1. Name

2. Surname

3. Type of Affiliation

4. Department

5. E-mail address *Optional*

Survey Page 1

6. Have you conducted any research activity since 15 October 2015 which involved/involves the use of non-human genetic material obtained from outside of the United Kingdom? Genetic material is any material containing functional units of heredity (e.g., genes or DNA) or their derivatives (e.g., proteins, lipids or enzymes). * *Required*

☐ Yes

☐ No

Survey Page 2 - Nagoya Protocol Scope

7. Is / was the genetic material accessed directly i.e., obtained from its country of origin by you or a third party after 12th October 2014? * *Required*

- ☐ Yes
- ☐ No

8. Is / was the genetic material accessed from outside of any area of national jurisdiction (for example, the high seas)?

- ☐ Yes
- ☐ No

9. Is / was access to the genetic material governed by existing legislation or agreements (for example, the International Treaty on Plant Genetic Resources for Food and Agriculture or WHO's Pandemic Influenza Preparedness Framework)?

- ☐ Yes
- ☐ No

Survey Page 3 - Activity Details

10. Please state where the genetic material was / is sourced from. Please list all countries where there are more than one.

11. Please refer to the Access and Benefit Sharing Clearing House website ([here](#)) and check whether the country or countries from whom you have sourced genetic material had ratified the Nagoya Protocol **before** material was accessed. Based on this, please choose the appropriate option from the below.

- ☐ ALL countries DID NOT ratify the Nagoya Protocol before material was accessed.
- ☐ Some or all countries DID ratify the Nagoya Protocol before material was accessed.
- ☐ Other

11.a. If you selected Other, please specify:

11.b. Are you able to provide details of the Nagoya Protocol Due Diligence undertaken? 'Due Diligence' in this context is the term used to refer to the steps that must be taken when accessing genetic materials from countries who have ratified the Nagoya Protocol. The specific steps can vary from country to country. Full details of requirements are accessible via the Access and Benefit-Sharing Clearing House website ([here](#)).

- ☐ Yes
- ☐ No

11.b.i. Please provide further details

11.b.ii. Please provide a reason why due diligence was not carried out

11.c. Please provide details of the due diligence carried out.

Survey Page 4 - Additional

12. Is access to the genetic resource covered by any contractual agreements (for example, a Material Transfer Agreement) ?

☐ Yes

☐ No

Any questions?

13. If you have any queries or comments about this survey, the Nagoya Protocol or any other matters pertaining to Research Governance please provide details below.

Page 6 - Thank You

Thank you for taking the time to complete this survey, to support the university's response to this request from Government.

Final page

Should you have any questions, please contact the Research Governance & Compliance Team via research-governance@bath.ac.uk
